

Please type a plus sign (+) inside this box → +

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
Application Number	10/075,536		
Filing Date	February 14, 2002		
First Named Inventor	DIECKROGER, Jens		
Group Art Unit	2800		
Examiner Name	LE, Que Tan		
Attorney Docket Number	P-5819-US		
Sheet	1	of	2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
DUPLICATED	1	6,093,939		Artigue, et al.	July 25, 2000	
	2	5,909,523		Sakaino, et al.	June 1, 1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
I	3	EP	1 083 450		Yamada, et al.	March 14, 2001	DUPLICATED	<input type="checkbox"/>
I	4	EP	0 807 981		Yamamoto et al.	Nov. 19, 1997	DUPLICATED	<input type="checkbox"/>
I	5	EP	0 331 332		Blonder	Feb. 22, 1989 (filing)	DUPLICATED	<input type="checkbox"/>
I	6	EP	0 723 171		Hirataka, et al.	Junly 24, 1996	DUPLICATED	<input type="checkbox"/>
I	7	FR	2 795 872		Serge	June 29, 1999	DUPLICATED	<input type="checkbox"/>
I	8	JP	2000-304-951		Hideki, et al.	Nov. 2, 2000		
I	9	JP	2002-14258		Yoshiaki	Jan. 18, 2002		
I	10	JP	11-231176/1999		Miki, et al.	Aug. 27, 1999		
I	11	JP	10-311936/1998		Katsuhiko	Nov. 24, 1998		
I	12	JP	10-274729/1998		Denicola, et al.	June 6, 1999		
I	13	JP	10-82930/1998		Sakaino, et al.	March 31, 1998		
I	14	JP	9-307134/1997		Yamamoto et al.	Nov. 28, 1997		
b	15	JP	8-204288/1996		Hirataka, et al.	Aug. 9, 1996		
I	16	JP	8-78657/1996		Yamada, et al.	March 22, 1996		
I	17	JP	7-225329/1995		Hattori	Aug 22, 1995		
I	18	JP	7-43564/1995		Hattori	Feb. 14, 1995		
b	19	JP	2-71203/1990		Blonder	March 9, 1990		
I	20	JP	2-13909/1990		Yamada, et al.	Jan. 18, 1990		
A	21	JP	62-242362/1987		Yamada, et al.	Oct. 22, 1987		
f	22	JP	2002-532747		Froejdh	Oct. 2, 2002		
I	23	JP	63-501393/1998		Mallinson, et al.	May 26, 1988		

Examiner Signature	Le	Date Considered	2107
--------------------	----	-----------------	------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

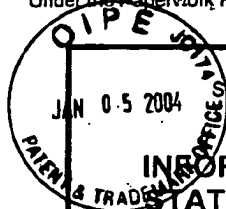
<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0851-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Substitution for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
Sheet		of		Application Number	10/075,536
				Filing Date	February 14, 2002
				First Named Inventor	DIECKROGER, Jens
				Group Art Unit	2800
				Examiner Name	Le
				Attorney Docket Number	P-5819-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
1	24	<del>Mino, et al. "Optoelectronic hybrid integrated laser diode module using planar lightwave circuit platform". Electronics Letters, October 27 1994, Vol. 30/No. 22, pages 1888-1890</del>	<input type="checkbox"/>
		DUPLICATED	
1	25	<del>Van der Linden, et al. "Cost-Effective and High-Density Integration of Monitoring Photodetector Arrays onto Polymeric Guided-Wave Components". Electronic Components and Technology Conference, 1998, page 1012-1017</del>	<input type="checkbox"/>
		DUPLICATED	
		Mark Unknown	
1	26	PCT Search Report: Application PCT/DE 01/02078, Feb. 18, 2002	<input type="checkbox"/>
1	27	Notice of Reasons for Refusal: Japanese Patent Application No. 2002-542590, June 24, 2003	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Le	Date Considered	2/04
--------------------	----	-----------------	------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.